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(Name)

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of:

Applicant

Gauthier, Forrest P.

Serial No.

10/707,955

Filed

January 28,2004

Title

METHOD OF UTILIZING VARIABLE DATA FIELDS WITH A PAGE

DESCRIPTION LANGUAGE

Docket No.

TES04-GN002-C4

Examiner

Examine

Art Unit :

Commissioner for Patents P. O. Box 1450 Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Pursuant to 37 C.F.R. § 1.97, the Examiner's attention is directed herein to the following disclosure along with the copies of the foreign references cited in Form PTO/SB/08A and the publication references cited in Form PTO/SB/08B.

Background

Pursuant to a patent infringement suit (Civil No. C-1-99-336) filed on May 25, 1999, by Varis Corporation against Scitex Digital Printing, Inc., Applicant became aware of allegations of

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Information Disclosure Statement TES05-GN002-C4

A fee of \$180.00 is required. The Commissioner is hereby authorized to charge any fees which may be required by this paper, or to credit any overpayment to Deposit Account 50-3072.

Respectfully submitted,

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PTO/SB/21 (09-04) Approved for use through 07/31/2006. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE are required to respond to a collection of information unless it displays a valid OMB control number. Under the Paperwork Reduction Act of 1 **Application Number** 10/707,955 Filing Date TRANSMITTAL 01-28-2004 First Named Inventor **FORM** Gauthier, Forrest P. Art Unit **Examiner Name** (to be used for all correspondence after initial filing) **Attorney Docket Number** TES05-GN002-C4 Total Number of Pages in This Submission **ENCLOSURES** (Check all that apply) After Allowance Communication to TC Fee Transmittal Form Drawing(s) Appeal Communication to Board **Licensing-related Papers** of Appeals and Interferences Fee Attached Appeal Communication to TC Petition (Appeal Notice, Brief, Reply Brief) Amendment/Reply Petition to Convert to a **Proprietary Information** After Final **Provisional Application** Power of Attorney, Revocation **Status Letter** Affidavits/declaration(s) **Change of Correspondence Address** Other Enclosure(s) (please Identify Terminal Disclaimer **Extension of Time Request** below): RETURN POST CARD Request for Refund **Express Abandonment Request** CD, Number of CD(s) ___ Information Disclosure Statement Landscape Table on CD **Certified Copy of Priority** Remarks Document(s) Information Disclosure Statement including Form PTO/SB/08A&B, with copies of cited references Reply to Missing Parts/ **Incomplete Application Reply to Missing Parts** under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Name Taft, Stettinius & Hollister LCP Signature, Printed name David A. Mancino Reg. No. Date 39,289 11-02-2004 CERTIFICATE OF TRANSMISSION/MAILING I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below: Signature Date 11-02-2004 Sharon A. Shelton, Paralegal

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Typed or printed name

Patent Application No. 09/373,582, which issued as the '665 patent, is the parent application of the above-referenced pending application. The allegations were made during the discovery of the aforementioned suit, and Applicant believed at the time and continues to believe that the allegations proffered by Scitex's trial counsel are completely without merit. However, to remove any influence that Applicant is not fully meeting its duty of candor to the Office, Applicant is disclosing the following information, even though it is firmly believed that the disclosure of such has no bearing upon the patentability of the present pending matter.

As an update, Varis Corporation was the assignee of the '665 patent at the initiation of the relevant suit. Thereafter, the intellectual property assets of Varis Corporation were sold to the current assignee of the above-referenced pending application, Tesseron, Ltd.

List of Allegations

Allegation of On-Sale Bar

Scitex's trial counsel alleged in his July 28, 1999, Answer to Complaint, that the '665 patent was invalid under 35 U.S.C. § 102.

In response to Scitex's Answer to Complaint, Varis Corporation's trial counsel requested Scitex answer a First Set of Interrogatories, dated September 1, 1999, of which no less than three interrogatories were directed to determining the aforementioned allegation of invalidity. On December 6, 1999, Scitex's counsel submitted a Response to the First Set of Interrogatories by

alleging that the '665 patent was invalid under 35 U.S.C. § 102 as being invalid based upon public use and/or on sale activity by Applicant, without any further specification. Varis Corporation's counsel sent Scitex's counsel a Second Set of Interrogatories on January 12, 2000, that were directed solely to the invalidity claims. The Second Set of Interrogatories went unanswered by Scitex's counsel according to the records provided to Applicant's undersigned counsel.

Allegation of Anticipation or Obviousness

Scitex's trial counsel also alleged that the '665 patent was invalid under 35 U.S.C. § 102, 103 as being invalid based upon Scitex's "DijiComp" products and Monarch Marking Systems, Inc.'s label printing products that were publicly known before January 18, 1994.

In response to Scitex's Answer to the Complaint, Varis Corporation's trial counsel requested Scitex answer a First Set of Interrogatories, dated September 1, 1999, of which no less than three interrogatories were directed to determining the aforementioned allegation of invalidity. On December 6, 1999, Scitex's counsel submitted a Response to the First Set of Interrogatories by indicating that the '665 patent was invalid under 35 U.S.C. § 102 as being invalid based upon anticipation or obviousness, without any further specification. Varis Corporation's counsel sent Scitex's counsel a Second Set of Interrogatories on January 12, 2000, which were directed solely to the invalidity claims. The Second Set of Interrogatories went unanswered by Scitex's counsel according to the records provided to Applicant's undersigned counsel.

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As an additional note, Scitex's counsel subpoenaed Monarch Marking Systems, Inc., on November 11, 1999, to produce and permit inspection and copying of documents or objects pertaining to: (1) the model 9490 Renegade printer; (2) the model 9446 printer; (3) the MPCL printer control language; (4) any printer or documents related to a printer capably of inserting variable data records of fields into a template of fixed data; and (5) documents related to saving a bitmap of a template print job. No information pertaining to the validity of the '665 patent appears from the record to ever have been produced or, if produced, was never made available to Varis Corporation or its counsel

Allegation of Written Description or Distinctly Claiming Failure

Scitex's trial counsel alleged that the '665 patent was invalid under 35 U.S.C. § 112 as being invalid based upon failing to meet the requirements of 35 U.S.C. § 112, ¶¶ 1 and/or 2.

In response to Scitex's Answer to Complaint, Varis Corporation's trial counsel did not request from Scitex more detailed information regarding the § 112 issues as the allegations were on their face clearly without merit.

Conclusion of the Suit

On February 13, 2002, Chief Judge Walter Herbert Rice of the United States District Court of the Southern District of Ohio issued a judgment dismissing Varis Corporation's

complaint with prejudice for failure to prosecute its claims against Scitex Digital Printing, Inc.

Judge Rice also refused to grant Scitex's default-judgment motion to declare the '665 patent invalid since Scitex did not present any evidence to the court supporting such allegations.

(J.p.8).

Current Submission

Applicant is submitting two United States Patents assigned to Monarch Marking Systems, Inc., namely, U. S. Patents number 5,483,624 and 5,544,287. Said patents were found by the undersigned by performing an online search for patents related to Monarch Marking System's label printing products referenced by Scitex in the Varis v. Scitex lawsuit. Applicant respectfully submits that the two patents listed are not material to the patentability of the above-referenced application, nor are they material to the patentability of the '665 patent. Applicant submits that the references are being cited in a preemptive fashion so as to avoid any appearance of impropriety in procuring a patent from the above-referenced application.

It is to be understood that the present disclosure of art is in no way intended to be a waiver of any arguments or defenses available to the Applicant under the rules of the U.S. Patent and Trademark Office and the statutes of the United States.

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Sheet 1

Substitute for form 1449/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

of 3

Complete if Known				
Application Number	10/707,955	· · · · · · · · · · · · · · · · · · ·		
Filing Date	01-28-2004			
First Named Inventor	Gauthier, Forrest P.			
Art Unit				
Examiner Name				
Attorney Docket Number	TES05-GN002-C4			

			U. S. PATEN	DOCUMENTS	
Examiner Initials*	Cite No. ¹	Document Number Number-Kind Code ^{2 (# known)}	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		^{US-} 4,944,614	07-31-1990	Tanaka	
· · · · · · · · · · · · · · · · · · ·		^{US-} 5,103,490	04-07-1992	McMillin	
		^{US-} 5,134,669	07-28-1992	Keogh et al	
-		^{US-} 5,157,765	10-20-1992	Birk et al	
		^{US-} 5,202,206	04-13-1993	Tam	
		^{US-} 5,208,906	05-04-1993	Morgan	
		^{US-} 5,222,235	06-22-1993	Potash et al	
		^{US-} 5,231,698	07-27-1993	Forcier	
		^{US-} 5,291,243	03-01-1994	Heckman et al	
		^{US-} 5,459,819	10-17-1995	Watkins et al	
		^{US-} 4,459,826	10-17-1995	Archibald	
		^{US-} 5,467,448	11-14-1995	Hilton et al	
		^{US-} 5,483,624	01-09-1996	Christopher et al	
·····		^{US-} 5,500,928	03-19-1996	Cook et al	
		^{US-} 5,506,697	04-09-1996	Li et al	
		^{US-} 5,539,529	07-23-1996	Merchant	
		^{US-} 5,542,052	07-30-1996	Deutsch et al	
		^{US-} 5,544,287	08-06-1996	Roth	
		^{US-} 5,600,768	02-04-1997	Andresen	

		FORE	IGN PATENT DOCU	IMENTS		
Examiner Initials*	Cite No. ¹	Cite Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	
		Country Code ³ Number ⁴ Kind Code ⁵ (if known)	MM-DD-YYYY		Or Relevant Figures Appear	T ⁶
		EP 0 703 524 B1	08-01-1997	Herregods et al		
		CA 2,207,840	12-18-1997	Desautels et al		
		CA 2,215,094	04-16-1998	Desautels et al		
		WO 98/081176	02-26-1998	Dorfman et al		
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Examiner	Date	
Signature	Considered	
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet	2	of	3

Complete if Known			
Application Number	10/707,955		
Filing Date	01-28-2004		
First Named Inventor	Gauthier, Forrest P.		
Art Unit			
Examiner Name			
Attorney Docket Number	TES05-GN002-C4		

			U. S. PATEN	T DOCUMENTS	
Examiner Initials*	Cite No. ¹	Document Number Number-Kind Code ^{2 (il known)}	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		US- 5,033,009	07-16-1991	Dubnoff	
			<u> </u>		
		US- 5,611,024	03-11-1997	Campbell et al	
		^{US-} 5,671,345	09-23-1997	Lhotak	
		^{US-} 5,729,665	03-17-1998	Gauthier	
		^{US-} 5,754,750	05-19-1998	Butterfield et al	
		^{US-} 5,841,420	11-24-1998	Kaply et al	
		^{US-} 5,926,185	07-20-1999	Vyncke et al	
		^{US-} 5,960,164	09-28-1999	Dorfman et al	
· · · · · · · · · ·		^{US-} 6,064,397	05-16-2000	Herregods et al	
		^{US-} 6,243,172	06-05-2001	Gauthier et al	
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	FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages		
		Country Code ³ Number ⁴ Kind Code ⁵ (if known)	MM-DD-YYYY		Or Relevant Figures Appear	T ⁶	
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		NON PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
		IDG InfoWorld, October 9, 1995, Review, pg. 91, IDG Communications, Inc., U.S.A.	
		IDG InfoWorld, March 20, 1995, Product Reviews; Desktop publishing software; pg. 92, IDG Communications, Inc., U.S.A.	
		IDG InfoWorld, May 9, 1994, Reviews: pg. 98, IDG Communications, Inc., U.S.A.	
Examiner Signature		Date Considered	

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